## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* <u>Kempkes Rodney J.</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>WEST CORP</u> [ WSTC ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
	(First) (Middle) CORPORATION MIRACLE HILLS DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 09/29/2017	X Officer (give title Other (specify below) below) Chief Administrative Officer					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
OMAHA (City)	NE (State)	68154 (Zip)	_	Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

#### 7. Nature of 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 6. Ownership 3. Transaction Disposed Of (D) (Instr. 3, 4 and Date Execution Date. Securities Form: Direct Indirect (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) 5) Beneficially Owned Following (D) or Indirect (I) (Instr. 4) Beneficial Ownership Reported (Instr. 4) (A) or (D) Transaction(s) (Instr. 3 and 4) Price Code v Amount

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (	Transaction Derivative Code (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Units	(1)	09/29/2017		Α		114.713		(1)	(1)	Common Stock	114.713	\$23.47 <sup>(1)</sup>	95,904.948	D	

#### Explanation of Responses:

1. These stock units were granted under the Issuer's Nonqualified Deferred Compensation Plan (the "Plan") and represent notional equity interests in the Issuer credited to the filing person's deferred compensation account. Each stock unit is the economic equivalent of one share of the Issuer's Common Stock. The Issuer matches 50% of any amounts invested in stock units, subject to vesting as set forth in the Plan. These stock units become payable, through the issuance of shares of the Issuer's Common Stock (or the cash equivalent thereof), on the date specified by the filing person, which can be no earlier than five years following the year of deferral associated with such stock units or, if earlier, six months after the date the filing person separates from service with the Issuer or the date of death of the filing person.

Remarks:

/s/ Rodney J. Kempkes (signed by Deneen Shadewald as Power 10/02/2017 of Attorney for Reporting Person)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.